

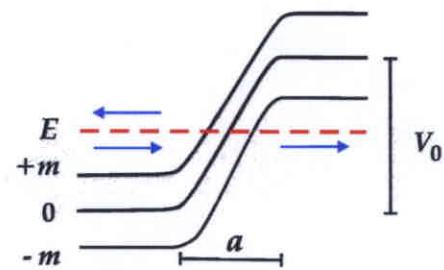
# The Physics of Supercritical Fields in Slow Heavy-Ion Collisions

- Strong fields in QED – The charged vacuum
- Strong fields in heavy ion collisions
  - The quasimolecular picture
  - Supercritical collisions
  - Experimental observables: K holes  
 $\delta$  electrons  
MO Xrays  
Positrons
  - Past experiments at GSI-UNILAC
    - [• Nuclear time delay]
  - Collisions of bare nuclei
    - Bound-free pair production
    - $e^+e^-$  angular correlations
    - “Spectroscopy” of superheavy quasimolecules

# Strong Fields in QED – History

- Klein's paradox and pair creation

**O. Klein (1929):** Anomalous transmission and reflection coefficients at a potential barrier with  $V_0 > 2m$ .



**F. Sauter (1931):** Tunneling through smooth barrier  $T \sim \exp\left[-\frac{\pi mc^2}{\frac{V_0 \hbar}{a mc}}\right]$

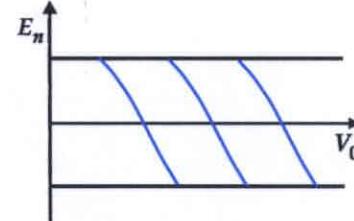
**Euler + Heisenberg (1936), Weisskopf:** Effective QED Lagrangian

**Schwinger (1951):** Pair production rate  $2 \operatorname{Im} L_{\text{eff}} = \frac{eE}{(2\pi)^3} \sum_{n=1}^{\infty} \frac{1}{n} e^{-nE_{\text{cr}}/E}$

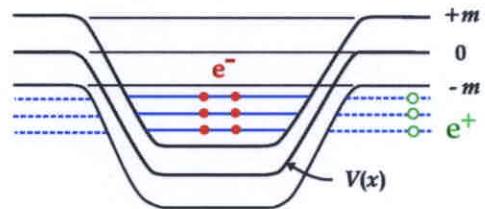
Critical field strength  $E_{\text{cr}} = \frac{\pi m^2 c^3}{e\hbar} \sim 10^{16} \frac{\text{V}}{\text{cm}}$

- Deep potential wells

**Schiff, Snyder, Weinberg (1940):** Square well levels go down to  $E_n = -mc^2$



**Hund (1940s):** Filling of the well by pair creation.



- Supercritical atoms

**Sommerfeld formula:**  $E_{1s} = mc^2 \sqrt{1 - (Z\alpha)^2}$ .

“Collapse of the wavefunction” at  $Z > 1/\alpha = 137$ .

*Finite nuclei:*

**Pomeranchuk, Smorodinskij (1945); Werner, Wheeler (1958); Voronkov, Kolesnikov (1961):**  $E_{1s} \rightarrow -m$  at  $Z \rightarrow Z_{\text{cr}}$

**Pieper, Greiner; Müller, ... ( $\geq 1969$ )**  
**Gershtein, Zeldovich, Popov ( $\geq 1969$ )**

Spontaneous pair creation.  
 Charged vacuum.  
 Heavy ion collisions.

**Frankfurt group**

Dynamics of  $e^+e^-$  creation.

# Supercritical Atoms

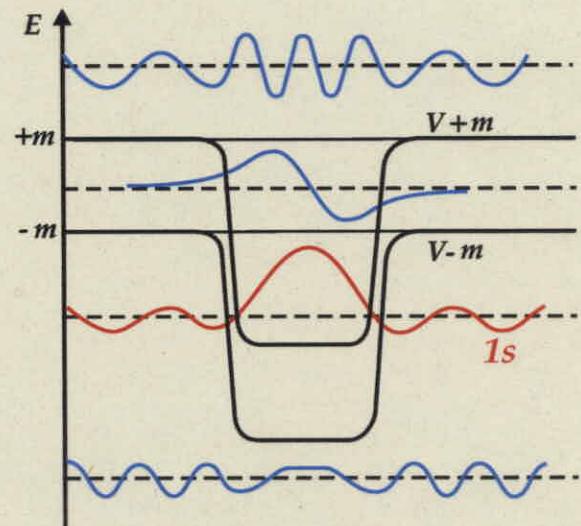
Bound states reach  $E_n = -mc^2$   
at  $Z_{\text{cr}}^{1s} = 172$ ,  $Z_{\text{cr}}^{2p_{1/2}}, \dots$

$Z > Z_{\text{cr}}$ : Bound state embedded in continuum.

Empty K-shell: Spontaneous emission of 2 (spin) positrons

Decay width:  $\gamma_{1s} \sim 5 \text{ keV}$

Life time:  $\tau_{1s} \sim 10^{-19} \text{ s}$



Relativistic contraction of wave functions:  $\rho_{1s}(170)/\rho_{1s}(1) \simeq 10^9$

## The Charged Vacuum

The vacuum polarisation charge density:

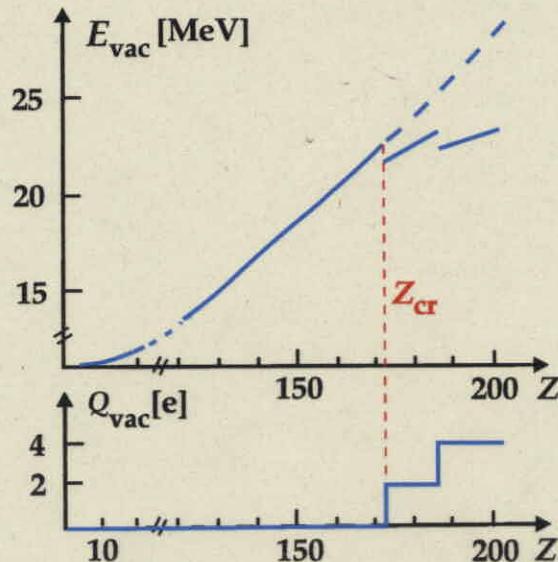
$$\begin{aligned}\rho_{\text{vac}}(x) &= \langle 0 | \hat{\rho}(x) | 0 \rangle = -ie \lim_{x \rightarrow x'} \text{Tr}(\gamma^0 S_F(x', x)) \\ &= \frac{e}{2} \sum_{n < F} \varphi_n^\dagger(x) \varphi_n(x) - \frac{e}{2} \sum_{n > F} \varphi_n^\dagger(x) \varphi_n(x)\end{aligned}$$

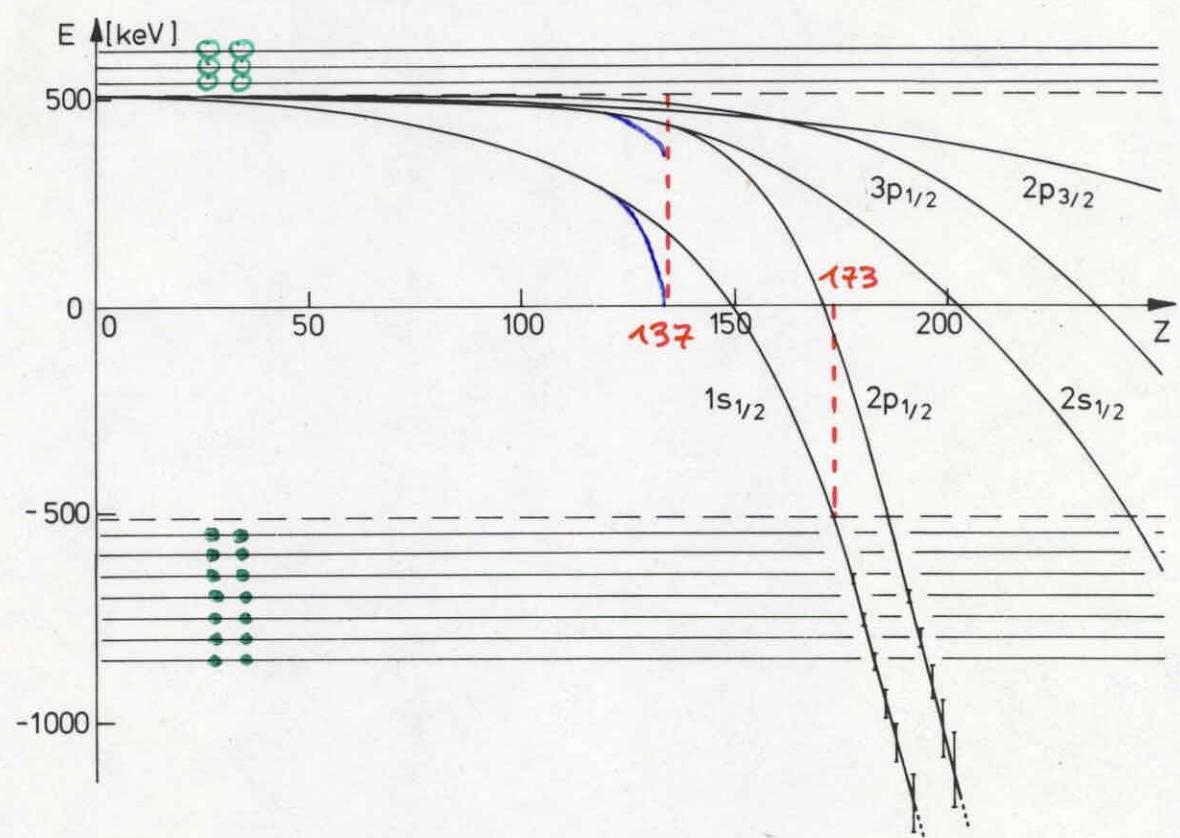
Fermi surface  $F$  at  $E = -mc^2$ .

In weak potentials:  $Q_{\text{vac}} = 0$

In supercritical systems:  $Q_{\text{vac}} = 2eN_{\text{vac}}$  Real vacuum polarisation!

$N_{\text{vac}}$ : Number of electron levels that have fallen below  $E = -mc^2$ .

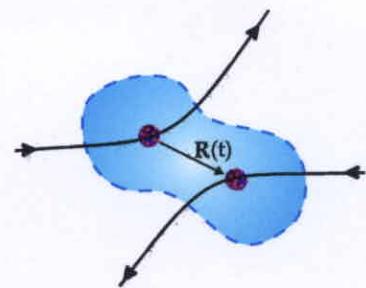




# Strong electromagnetic fields in heavy ion collisions

Two Center Dirac Hamiltonian:

$$H_{\text{TCD}}(\mathbf{R}) = -i\alpha \cdot \nabla + \beta m + V_1(\mathbf{r}, \mathbf{R})$$



Semiclassical approximation:  
 $\mathbf{R}(t)$  = Rutherford trajectory.

If the velocity  $\dot{\mathbf{R}}$  is “small” the electrons follow the  
 adiabatic quasimolecular basis

$$H_{\text{TCD}}(\mathbf{R}) \phi_n = E_n(R) \phi_n$$

TCD solutions: B. Müller,... (> 1975)

Critical distance for U+U:  $R_{\text{cr}} = 37 \text{ fm}$  (point nuclei, unscreened)

## The time-dependent problem

$$i \frac{\partial}{\partial t} \psi_n^{(+)}(\mathbf{r}, t) = H_{\text{TCD}}[\mathbf{R}(t)] \psi_n^{(+)}(\mathbf{r}, t)$$

with the asymptotic condition  $\psi_n^{(+)}(\mathbf{r}, t \rightarrow -\infty) \rightarrow \phi_n$

Slow collisions: Expansion w.r.t. adiabatic basis

$$\psi_n^{(+)}(\mathbf{r}, t) = \sum_k a_{nk}(t) \phi_n(\mathbf{r}, \mathbf{R}(t)) e^{-i\chi_k(t)}$$

with the phase  $\chi_k(t) = \int^t dt' \mathbf{E}_k(R(t))$

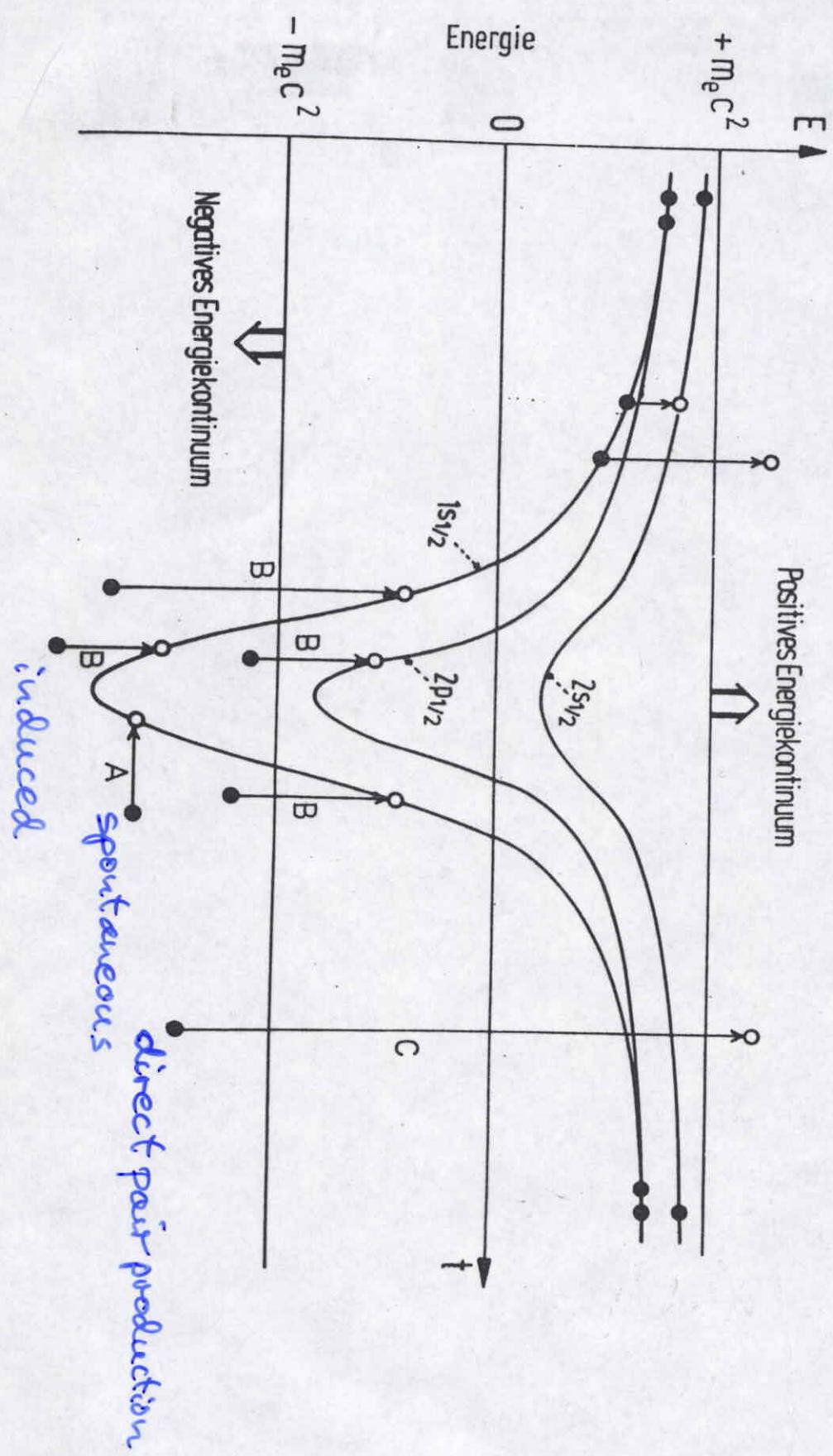
Coupled channel equations:

$$\dot{a}_{nk}(t) = - \sum_{j \neq k} a_{nj}(t) \langle \phi_k | \partial / \partial t | \phi_j \rangle e^{i(\chi_k - \chi_j)}$$

Numerical integration with initial condition  $a_{ik}(t \rightarrow -\infty) = \delta_{ik}$

Coupling operator:  $\frac{\partial}{\partial t} = \dot{\mathbf{R}} \frac{\partial}{\partial \mathbf{R}} - i\omega \cdot \mathbf{J}$

# Radio haptic energy levels



# Field theoretical description

Field operator:  $\hat{\psi}(x) = \sum_{n>F} \hat{b}_n^{\text{in}} \psi_n^{(+)} + \sum_{n<F} \hat{d}_n^{\text{in}\dagger} \psi_n^{(+)}$

The state vector  $|F\rangle$  is prepared as 
$$\begin{cases} \hat{b}^{\text{in}}|F\rangle = 0 & , n > F \\ \hat{d}^{\text{in}}|F\rangle = 0 & , n < F \end{cases}$$

Expansion in terms of out-operators:  $\hat{\psi}(x) = \sum_{n>F} \hat{b}_n^{\text{out}} \psi_n^{(-)} + \sum_{n<F} \hat{d}_n^{\text{out}\dagger} \psi_n^{(-)}$

Canonical transformation:  $\hat{b}_n^{\text{out}} = \sum_{k>F} \hat{b}_k^{\text{in}} a_{kn} + \sum_{k<F} \hat{d}_k^{\text{in}\dagger} a_{kn}$

Number of created **particles** in state i:

$$N_i = \langle F | \hat{b}_i^{\text{out}\dagger} \hat{b}_i^{\text{out}} | F \rangle = \sum_{k<F} |a_{ki}(\infty)|^2$$

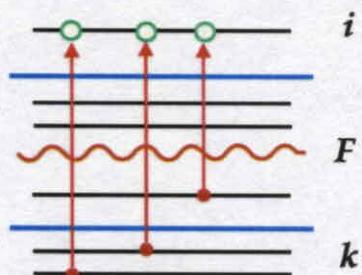
Number of created **holes (antiparticles)** in state j:

$$\bar{N}_j = \langle F | \hat{d}_j^{\text{out}\dagger} \hat{d}_j^{\text{out}} | F \rangle = \sum_{k>F} |a_{kj}(\infty)|^2$$

Number of **particle-hole pairs**:

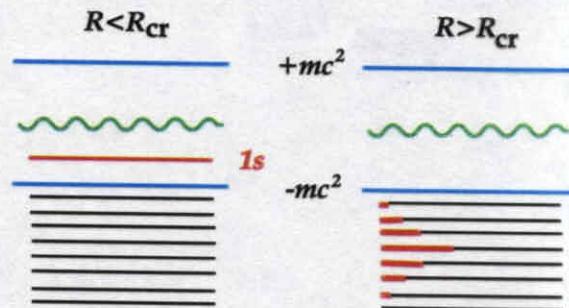
$$\begin{aligned} \bar{N}_{ij} &= \langle F | \hat{b}_i^{\text{out}\dagger} \hat{b}_i^{\text{out}} \hat{d}_j^{\text{out}\dagger} \hat{d}_j^{\text{out}} | F \rangle \\ &= N_i \cdot \bar{N}_j - \sum_{k<F} \sum_{l>F} a_{ki}^* a_{kj} a_{lj}^* a_{li} \end{aligned}$$

*Incoherent sum of single particle probabilities.  
(Neglecting correlation effects)*



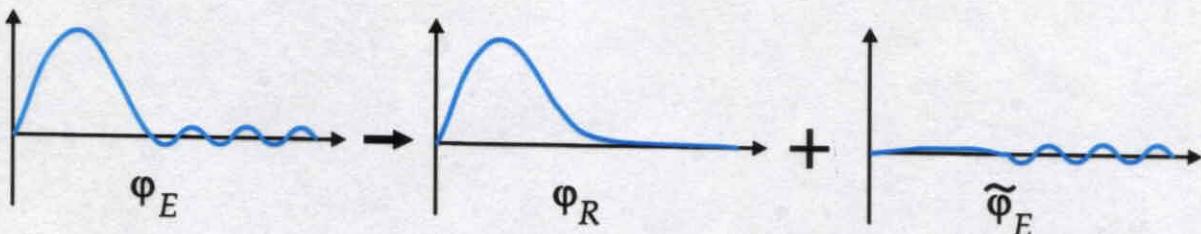
# Dynamics of supercritical collisions

A bound state is lost from the discrete spectrum and moves through the antiparticle continuum as a narrow resonance.



## Projection operator formalism

Extract **quasistationary state**  $\varphi_R$  out of the continuum  $\varphi_E$ . Generate new nonresonant continuum  $\tilde{\varphi}_E$



$$\text{so that } \int dE |\varphi_E\rangle\langle\varphi_E| = \int dE |\tilde{\varphi}_E\rangle\langle\tilde{\varphi}_E| + \int dE |\varphi_R\rangle\langle\varphi_R| \\ I = P + Q$$

The **modified continuum** is defined through

$$(E - PHP)\tilde{\varphi}_E = 0$$

$$\text{or } (E - H)\tilde{\varphi}_E = -\langle\varphi_R|H|\varphi_E\rangle\phi_R$$

Consequence:  $H$  is **nondiagonal** in the projected basis.

Additional coupling:

$$\langle\varphi_E|\frac{\partial}{\partial t}|\varphi_R\rangle \rightarrow \langle\tilde{\varphi}_E|\frac{\partial}{\partial t}|\varphi_R\rangle + i\langle\tilde{\varphi}_E|H|\varphi_R\rangle$$

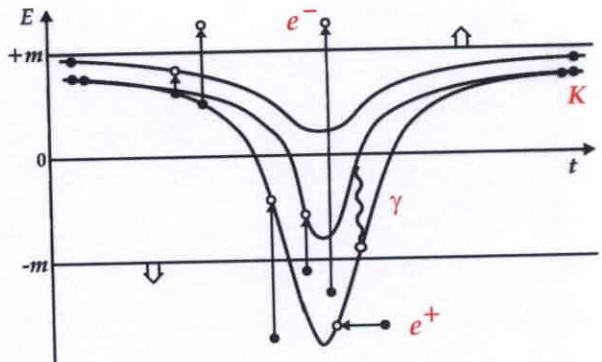
Coherent sum of “induced” and “induced” couplings

In the **static limit**:  $\varphi_R$  decays exponentially with a width

$$\Gamma = 2\pi|\langle\varphi_R|H|\tilde{\varphi}_E\rangle|^2$$

# Experimental observables

In systems with  $(Z_1 + Z_2) > 137$ :  
“Collapse” of wave functions leads to strong  $\partial/\partial R$  couplings. Multi-step processes become important.



- **K-hole production**

High ionisation rates:  $P_{1s\sigma} \simeq 10\%$ .

Approximate scaling behaviour:

$$P_{1s\sigma}(b) \simeq D(Z) e^{-2R_{\min} q_{\min}}$$

where  $q_{\min} = \frac{E_{1s\sigma}^B}{\hbar v_{\text{ion}}}$

→ “Spectroscopy” of superheavy quasimolecules.

- **$\delta$ -electron production**

The high-energy tail (up to  $E_e > 2$  MeV) probes the high-momentum components of the the quasimolecular wave functions.

- **Quasimolecular X rays (MOX)**

Broad photon spectra. No “end point”, quasistatic picture not applicable.

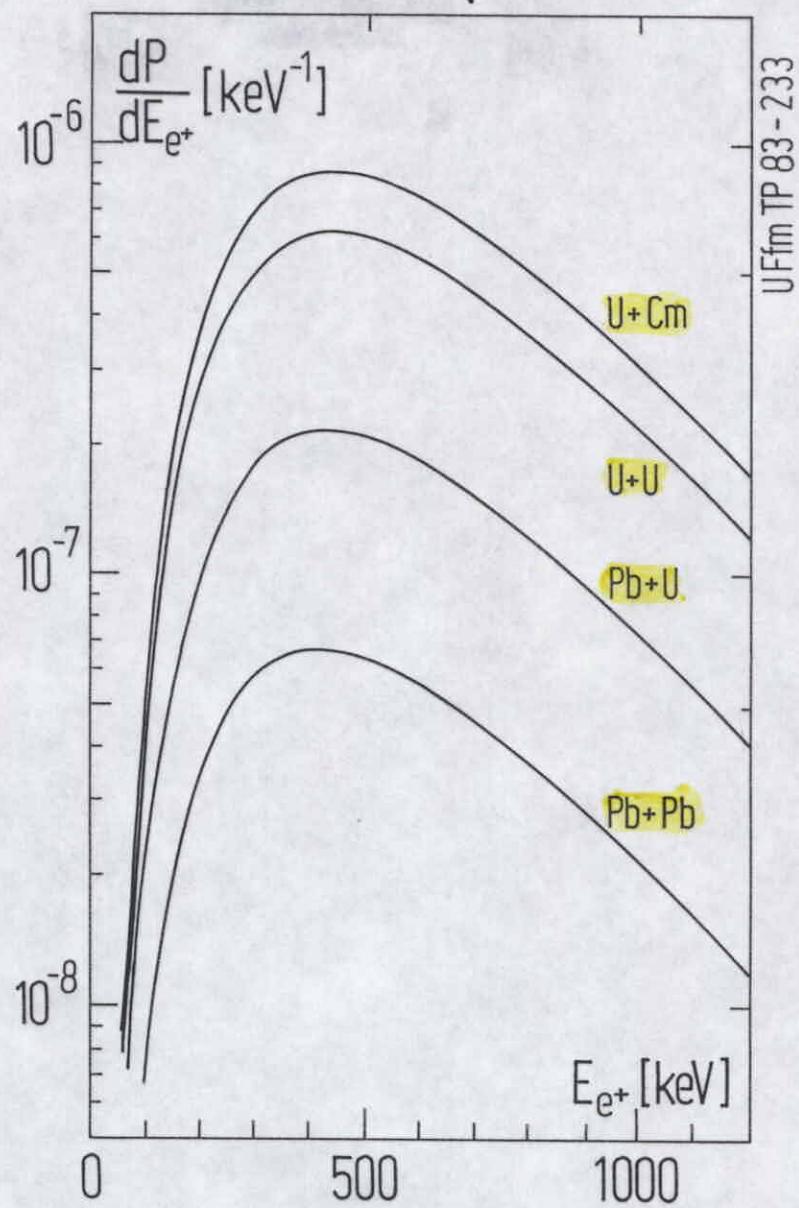
- **Positron creation**

Drastic increase of positron yield with nuclear charge  $Z$ :

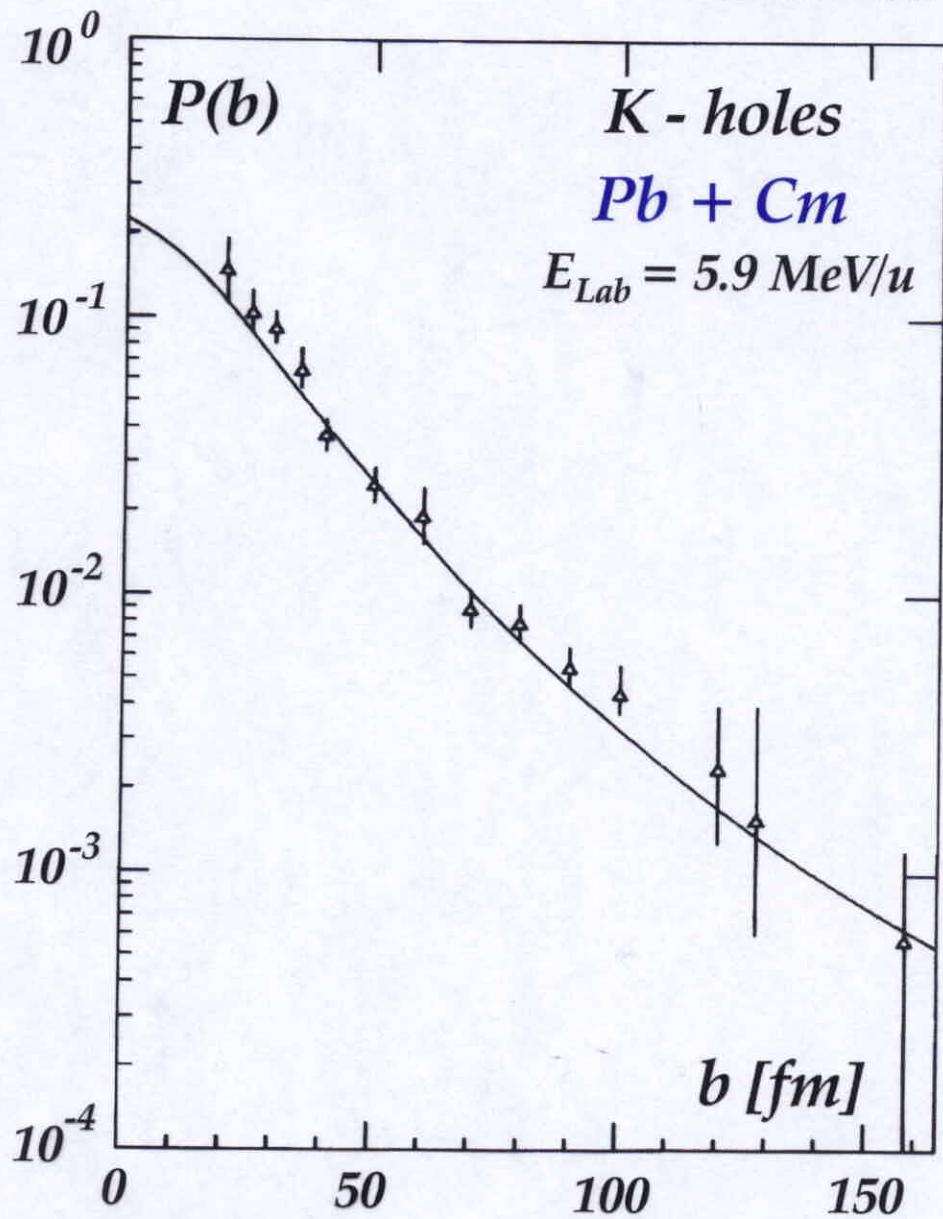
$$P_{e^+} \propto Z^{20}$$

- No qualitative signal for level diving expected.
- Good quantitative agreement with experiments:  $P(b), P(Z), dP/dE_{e^+}$ .

# Positron Spectrum



Experiment: D. Liesen et al.  
F. Bosch et al.



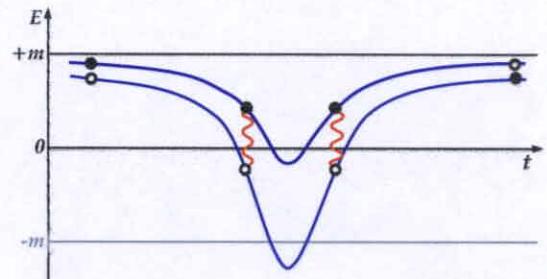
# Collisions involving fully stripped ions

## 1. K-K Vacancy transfer

Interference involving the phase integral  $\phi = \int_{-\infty}^{+\infty} dt \Delta E_{1s,2p_{1/2}}(R(t))$

## 2. Quasimolecular X rays

Interference between transitions on the incoming/outgoing half of the trajectory:  
Oscillating MOX spectrum.



## 3. Positron creation

### Advantages of bare ions

- No electron-electron interaction → Reduced theoretical uncertainty.
- Stronger Coulomb field, deeper electron binding.

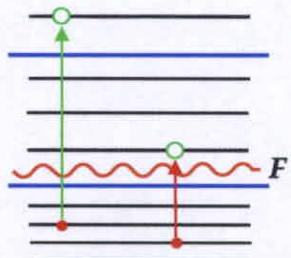
E.g. for  $Z = 188$ ,  $R = 15\text{fm}$ :  $E_{1s}(\text{bare}) = -1498\text{keV}$ ,  $E_{1s}(50^+) = -1377\text{keV}$

### • Removal of Pauli Blocking

Normally  $E_p \rightarrow 1s$  transitions require  $\geq 2$  steps:

Suppression because  $\bar{N}_{1s\sigma} \lesssim 0.05$ .

In bare collisions **bound-free electron positron pair production** becomes possible as a **one-step process**.



### “Electron capture from the vacuum”

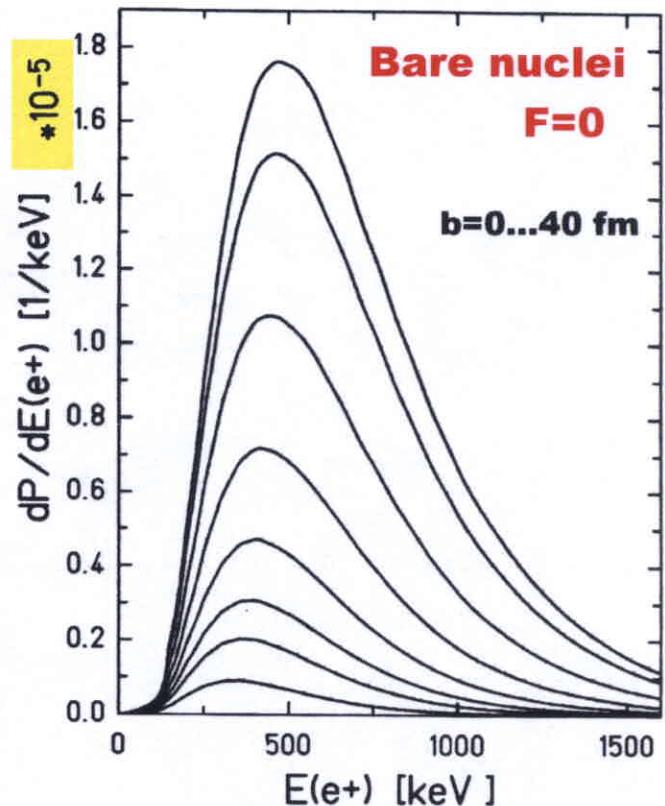
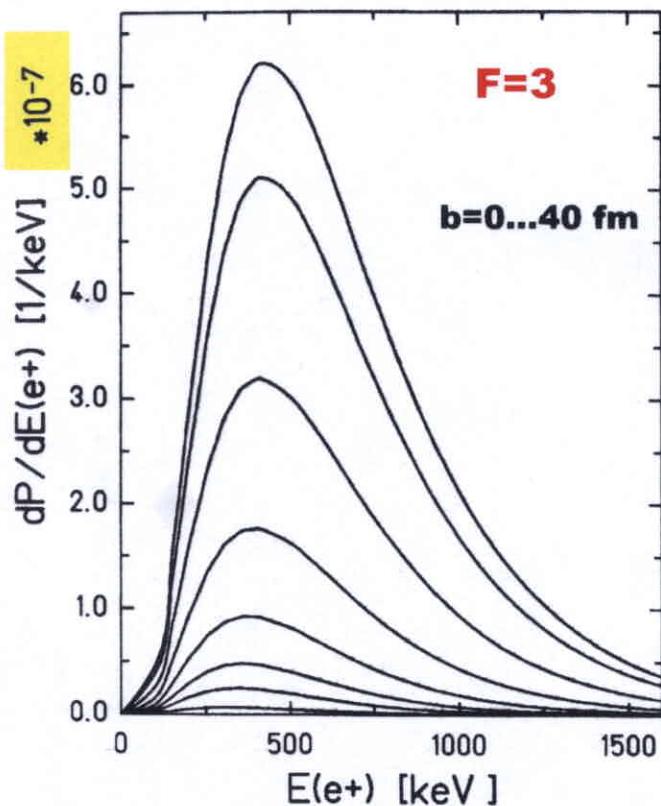
- Positron rates get enhanced by factors of 30 ... 100.
- Largest contribution: Capture into the  $1s\sigma$  state.
- Sensitivity to wave function and binding energy of  $1s\sigma$ .

Theory: U. Müller et al. Phys. Rev. A37, 1449 (1988)

## Positron spectra

**U + U**

**E = 6.2 MeV/u**



**Approx. two orders of magnitude increase of intensity in bare collisions!**

## Pair production in bare collisions near the Coulomb barrier

$\text{U}^{92+} + \text{U}^{92+}$  at  $E_{\text{cm}} = 680 \text{ keV}$  i.e.  $E_{\text{proj}} = 5.7 \text{ MeV/u}$

b [fm]	$\sum_{\text{bound}} N_i$	$\int dE \bar{N}_{\text{pos}}(F=0)$	$\int dE \bar{N}_{\text{pos}}(F=3)$	Ratio bare/normal
0	1.04 (-2)	1.06 (-2)	2.93 (-4)	36
10	6.05 (-3)	6.12 (-3)	1.45 (-4)	42
20	2.33 (-3)	2.34 (-3)	3.65 (-5)	64
30	8.80 (-4)	8.80 (-4)	8.24 (-6)	107
40	3.42 (-4)	3.42 (-4)	1.88 (-6)	182
$\sigma$	105 mb	105 mb	2.3 mb	46

U. Müller, T.H.J. deReus, J. Reinhardt, B. Müller, W. Greiner, G. Soff: Phys. Rev. A37, 1449 (1988)

In slow collisions electron capture into bound states is the dominant channel for pair production.

**Note:** Bound-free pair production was observed in *relativistic collisions* at BEVALAC:

A. Belkacem, H. Gould, B. Feinberg, R. Bossingham, W.E. Meyerhof: Phys. Rev. Lett. 71, 1514 (1993)

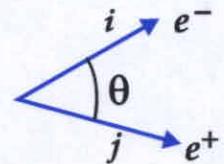
$$0.956 \text{ GeV } \text{U}^{92+} + \text{Au} \quad \sigma_{\text{bound-free}} = 2.2 \text{ b}$$

$$\sigma_{\text{free-free}} = 3.3 \text{ b}$$

# Electron-positron pair correlations

Interference  $s_{1/2}$  and  $p_{1/2}$  waves leads to angular correlations .

$$\begin{aligned}
 N_{ij} &= N_i \cdot \bar{N}_j \\
 &\quad + 2 \sum_{\kappa=\pm 1} \left| \sum_{n < F} a_{ki}^{(\kappa)} a_{kj}^{(\kappa)*} \right|^2 \\
 &\quad - 4 \cos \theta \operatorname{Re} \left[ e^{i\Delta} \left( \sum_{k < F} a_{ki}^{(-)*} a_{kj}^{(-)} \right) \left( \sum_{k < F} a_{ki}^{(+)} a_{kj}^{(+)*} \right) \right] \\
 &\equiv S + C + A \cos \theta
 \end{aligned}$$



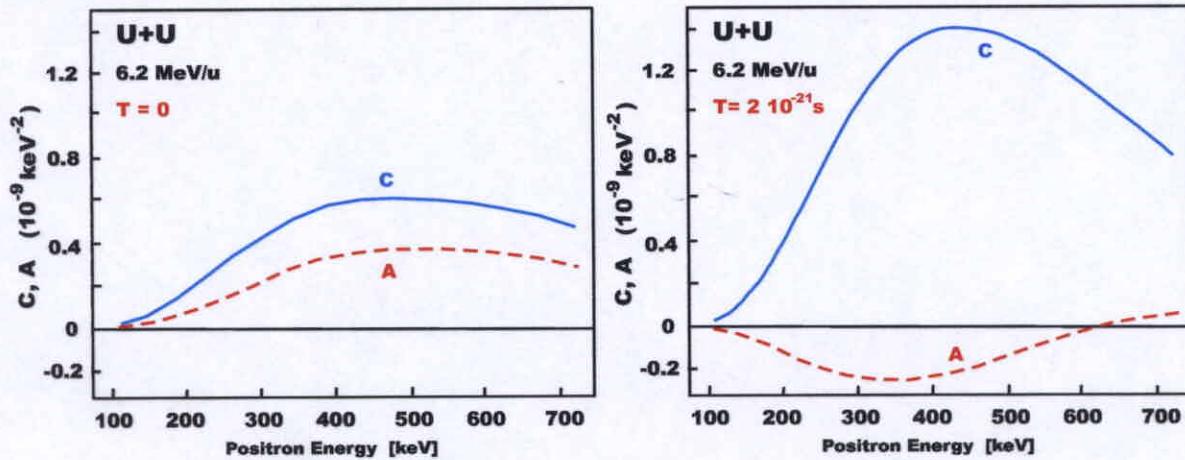
where  $\left. \begin{array}{l} (-) : s_{1/2} \text{ states} \\ (+) : p_{1/2} \text{ states} \end{array} \right\} \text{interference}$

$$\Delta = (\delta_i^{(-)} - \delta_i^{(+)}) - (\delta_j^{(-)} - \delta_j^{(+)}) \quad \text{Scattering phase shifts}$$

**Theory:** O. Graf, J. Reinhardt, B. Müller, W. Greiner, G. Soff: Phys. Rev. Lett. 61, 2831 (1988); Z. Physik 28, 81 (1987)

In ordinary collisions : Most electrons originate from ionization.  
→ The “random” term  $S$  is dominant. Isotropic angular distribution.

In collision of bare nuclei : Each electron is accompanied by a positron.  $S$  is negligible. Angular correlation carries information on mixture of  $s_{1/2}$  and  $p_{1/2}$  contributions.



Qualitative signal for spontaneous pair creation:  
Negative anisotropies in time delayed collisions of bare nuclei.

# “Spectroscopy” of superheavy quasimolecules

Exploit sensitive dependence of excitation rates on transition energies.

## $1s\sigma$ ionisation

Ionisation amplitude in **1st order perturbation theory**:

$$a_{1s,E} = - \int_{-\infty}^{\infty} dt \dot{R} \langle E | \partial / \partial R | 1s \rangle e^{-i \int^t dt' (E - E_{1s})}$$

Radial matrix element (monopole approximation)

$$\langle E | \partial / \partial R | 1s \rangle = \sqrt{\frac{D(Z)}{4\pi^2 m}} \left(\frac{m}{E}\right)^{\gamma/2} \frac{1}{R}$$

Approximate solution

$$|a_{1s,E}|^2 \simeq \frac{D}{4m} \left(\frac{m}{E}\right)^\gamma e^{-\frac{2\tau_0}{\hbar}(E - E_{1s}(R_0))}$$

where  $\tau_0 = \frac{1}{v} [b + a(\pi - \arctan(b/a))] \simeq \frac{R_0}{v}$

$R_0$ : distance of closest approach

$\tau_0$ : characteristic collision time

K-hole rate:

$$P_{1s\sigma}(b) = 2 \int_m^{\infty} dE |a_{1s,E}|^2 = D(Z) N(Z, b, v) e^{-\frac{2\tau_0}{\hbar}(m - E_{1s}(R_0))}$$

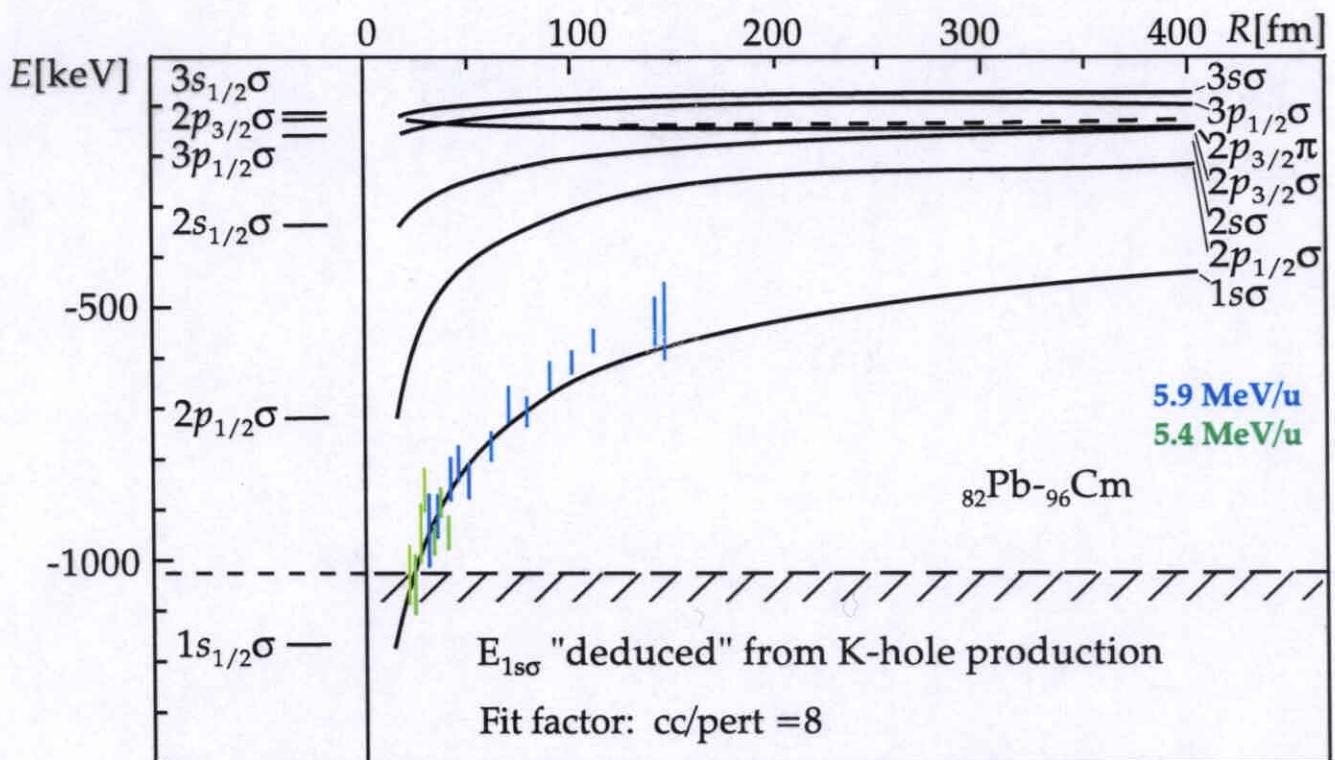
B. Müller, J. Reinhardt, W. Greiner, G. Soff, Z. Physik A311, 151 (1983)

→ Deduce  $E_{1s}(R)$  from  $P_{1s}(b)$ .

Problem in (nearly) symmetric: *Vacancy sharing* of  $1s\sigma$  and  $2p_{1/2}\sigma$  levels.

Deficiency of the method: Perturbation theory not well justified.

Receipe: Replace  $D \rightarrow D_{\text{eff}}$  (Fit to coupled channel results).



Large systematic uncertainties.

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### Systematic way to check QED predictions:

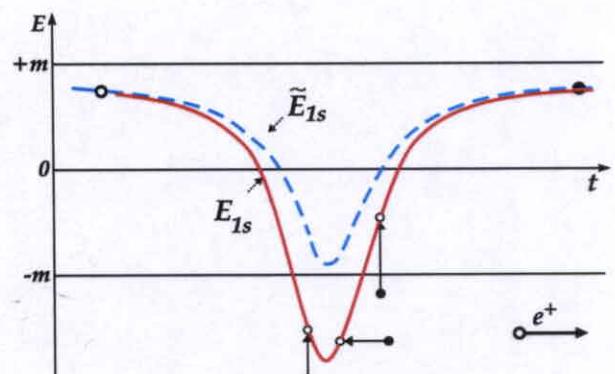
Perform coupled channel calculations with modified energies of the basis state(s):

$$\tilde{E}_n(R) = E_n(R) + \begin{array}{c} \text{QED} \\ \text{modification} \end{array}$$

better:

$$\tilde{H}(R) = H(R) + \delta H(R)$$

(Also wave functions get modified.)



Comparison Experiment/Theory  $\rightarrow$  Deviation  $\Delta[E_n]$

Determine  $E_n(R)$  from minimization of  $\Delta$ .

The functional derivative  $\frac{\delta \Delta}{\delta E_n}$  provides information on the sensitivity to local energy variations.

Optimal sensitivity of  $E_{1s}(R)$  can be expected for bound-free pair production

What?

## Conclusions

- Slow heavy ion collisions offer a unique opportunity to study Strong Fields in QED .
- The collision dynamics seems to be well understood using the quasimolecular picture.
- Supercritical binding and spontaneous pair creation not yet proven experimentally.
- Collisions of **bare + bare** or **bare + neutral** ions offer new opportunities:

Direct access to the quasimolecular  $1s\sigma$  state.

Bound-free electron-positron pair creation.  
Interferences in MOX rays.  
Structures in K-K vacancy transfer.